



PRESS RELEASE

CMF sanctions Rodrigo Real Ibaceta for trading Soquimich Comercial S.A. shares within thirty days prior to disclosure of its financial statements

February 1, 2024 — The Board of the Financial Market Commission (CMF) sanctioned Rodrigo Real Ibaceta with a fine of UF 100 for **violating Article 16, Number 5 of the Law on the Securities Market** by trading shares within thirty days prior to disclosure of an issuer's financial statements.

Per [Exempt Resolution No. 1,176](#), Rodrigo Real breached the ban set forth on said Article, which prohibits directors, managers, administrators, and main executives of issuers of publicly offered securities, as well as their spouses, domestic partners, and relatives up to the second degree of consanguinity or affinity from carrying out, directly or indirectly, transactions on securities issued within a 30-day period prior to the disclosure of the issuer's quarterly or annual financial statements.

Real was subject to this ban in his capacity as General Manager of Soquimich Comercial S.A., whose financial statements were published on May 17, 2022. Still, he purchased Soquimich Comercial shares on April 29, 2022 totaling CLP 19,785,619, infringing the prohibition mentioned earlier.

Area of Communications, Education & Image — Financial Market Commission (CMF)

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